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PTO/SB/05 (2/98)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(h))

Attorney Docket No. 06271.0024.DVUS01  
First Named Inventor or Application Identifier Gregory P. WINTER  
Title A New Method for Tapping the Immunological Repertoire  
Express Mail Label No. EL615207731US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form (Form PTO-1082)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 98]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 USC 113) [Total Sheets 19]  
Oath or Declaration [Total Pages ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and  
1.33(b).
- ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application is considered as  
being part of the disclosure of the accompanying application and  
is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above  
copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
14. ☐ \*Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27). EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 07/933,958/August 21, 1992

Prior Application Information: Examiner: J. Ketter Group/Art Unit: 1636

**18. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label 22930 or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

NAME	Albert P. Halluin HOWREY SIMON ARNOLD & WHITE, LLP Box No. 34				
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Name (Print/Type)	Albert P. Halluin		Registration No. (Attorney/Agent)	27,227	
Signature	<i>Albert P. Halluin</i>		Date	November 28, 2000	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

The PTO did not receive the following item(s) a check # 355

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FORM PTO-1082

HOWREY SIMON ARNOLD & WHITE, LLP  
Box No. 34  
1299 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004-2402  
(650) 463-8100

Attorney Docket No. 06271.0024.DVUS01

**Box Patent Application**  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Gregory P. WINTER; Lutz RIECHMAN; William D. HUSE; Joseph A. SORGE; and Richard A. LERNER for A NEW METHOD FOR TAPPING THE IMMUNOLOGICAL REPERTOIRE.

Also, enclosed are:

1. Preliminary Amendment (4 pages)
2. 19 sheets of drawings
3. Return postcard.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	6-20 =	0
INDEP. CLAIMS	3-3 =	0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY	
RATE	FEE
	\$ 355.00
x 9 =	0.00
x 40 =	0.00
+ 135 =	0.00
TOTAL	\$0.00

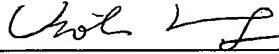
OTHER THAN A SMALL ENTITY	
RATE	FEE
	\$ 710.00
X 18 =	
x 80 =	
+ 270 =	
TOTAL	710.00

Applicant(s) hereby authorize(s) the U.S. Patent and Trademark Office (PTO) to charge payment of any fee associated with this filing to our Deposit Account No. 08-3038 referencing docket number 06271.0024.DVUS01.

Also, this application is a DIVISIONAL of U.S. Patent Application Serial No. 07/933,958, filed August 21, 1992; which is a CONTINUATION of U.S. Patent Application Serial No. 07/799,770, filed November 27, 1991; which is a CONTINUATION of U.S. Patent Application Serial No. 07/533,103, filed June 4, 1990; which is a CONTINUATION-IN-PART of U.S. Patent Application Serial No. 07/353,235, filed May 16, 1989 (Abandoned); and is a CONTINUATION-IN-PART of U.S. Patent Application Serial No. 07/353,241, filed May 17, 1989 (Abandoned).

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038. A duplicate copy of this sheet is attached.

Date November 28, 2000

  
Albert P. Halluin (25,227)  
Viola T. Kung (Reg. No. 41,131)